



Internal Investigations



At a Glance

Experience with High Level Government Entities

Munck Wilson Mandala attorneys are well versed in representing clients in cases involving high-level government entities including Department of Justice (DOJ), Securities and Exchange Commission (SEC), Federal Trade Commission (FTC), and Internal Revenue Service (IRS).

Customized Teams

Combining skilled litigators with our transactional attorneys who bring a diverse mix of corporate governance matter experience, including complex corporate transactions, fiduciary duties, and disclosure issues, we develop customized teams to handle your case.

Overview

Munck Wilson Mandala has a comprehensive approach to board and internal investigations that covers the full range of civil, criminal, and congressional investigations and enforcement matters at the federal and state level. With experience representing clients in matters across all regulatory groups, attorneys work collaboratively with leadership to achieve goals and maintain a valued reputation.

Experts in evolving policy and regulations, Munck Wilson Mandala provides guidance to public and private companies and their directors, officers and shareholders regarding board and internal investigations and “best practices.” MWM clients include public and private companies and not-for-profit entities, as well as their boards of directors, audit committees and other special committees.

Solidified by strong working relationships among Fortune 500 businesses and the U.S. administration, our full-service team is well-appointed to strategically handle any level of investigation. Our attorneys have deep experience advising boards and management in complying with the Securities Act of 1933, Securities Exchange Act of 1934, Sarbanes-Oxley Act, Delaware, and other state laws, as well as NYSE, AMEX and Nasdaq regulations.

As part of our core corporate practice, our lawyers have counseled directors on their fiduciary duties, standards of conduct, liability issues and corporate governance matters, as well as federal securities law compliance matters.

Our services and areas of focus include:

- Analysis and advice regarding governance policies and internal control systems

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- Analysis and advice regarding duties under Sarbanes-Oxley, applicable state law, and traditional governance issues including preparation and review of committee charters, codes of conduct, and other compliance materials
- Preparation of periodic filings with the SEC and state securities regulators
- Practices, policies, operations, and legal compliance audits of corporate clients
- Applicable fiduciary duties regarding mergers and acquisitions, going-private transactions, recapitalizations, and other strategic initiatives
- Executive compensation and employee benefit plans